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121703

MAIL STOP PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**UTILITY PATENT APPLICATION TRANSMITTAL
FILED UNDER 37 C.F.R. §1.53(b)**

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s):

Robert Hornung whose address is: 25 Westway Road, Southport, CT 06490
and whose citizenship is: United States

Title: **WINDOW SASH FRAME WITH HINGED COMPONENTS**

APPLICATION ELEMENTS:

(1) Patent Application Specification, including Abstract and claims - 33 pages
(2) Eight (8) sheet(s) of formal drawings, together with transmittal letter
(3) A check in the amount of \$ to cover the [] filing fee(\$.00) and/or [] Assignment Recordal Fee (\$40) is enclosed.

Before calculating the fee, cancel claim(s)
 Before calculating the fee, see copy of Preliminary Amendment filed in parent application _____ (attached hereto.)

Basic Fee								\$ 770.00
Multiple Dependent Claims (\$260.)								
Foreign Language Surcharge (\$130.)								
	For	No. Filed	-	No. Extra		Rate		
EXTRA	Total Claims	9	20	0	x	\$18.	=	\$ 0.00
CLAIMS	Independent Claims	1	3	0	x	\$86.	=	\$ 0.00
TOTAL FILING FEE							=	\$ 770.00

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IND-114.1

December 17, 2003

Page 2

(4) Declaration and Power of Attorney form

- a. Newly executed
- b. Copy from a prior application
- c. Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.

(5) Small Entity Status

ACCOMPANYING APPLICATION PARTS:

(6) Assignment document

- a. Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
- b. Copy from a prior application
- c. Please include assignee information when this application is published
Assignee: Sashlite, LLC
1175 Post Road East
Westport, CT 06880

(7) Preliminary Amendment

(8) Certified Copy of Priority Document, together with separate transmittal letter

(9) Information Disclosure Statement, together with PTO Form 1449 and copies of cited references

(10) Return receipt postage prepaid postcard

(11) Express Mail Certificate (Mailing Label No. EV 129898052 US)

(12) Application Data Sheet

(13) Other:

(14) [X] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP)

of prior application no.: **10/004,112 filed on November 15, 2001**

Prior application information:

Examiner: **Basil S. Katcheves** Group/Art Unit: **3635**
Status: **Allowed**

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

(15) [] Please amend the specification by inserting before the first line the sentence:

(16) [] This application claims the benefit of _____ Patent Application No. _____ filed on _____.

(17) [X] Correspondence address:
Barry R. Lipsitz
Law Offices of Barry R. Lipsitz
755 Main Street, Building 8
Monroe, CT 06468
Telephone: (203) 459-0200

(18) [X] Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicant respectfully requests that this application be assigned a serial number and a filing date of **December 17, 2003**, the date upon which the application was mailed to the Patent and Trademark Office by Express Mail (No. EV 129898052 US).

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200

ATTORNEY DOCKET NO.: **IND-114.1**
Date: **December 17, 2003**

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)

)

Robert Hornung)

)

Filed: Herewith)

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)

For: **WINDOW SASH FRAME WITH HINGED COMPONENTS**

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: **EV 129898052 US**

Date of Deposit: **December 17, 2003**

I hereby certify that the attached:

- Return receipt postage prepaid postcard;
- Transmittal letter for new patent application;
- Application Data Sheet;
- Patent Application Specification including Abstract and Claims (33 pages);
- Eight (8) sheet(s) of formal drawings, together with transmittal letter.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and 1.53 on the date indicated above and is addressed to: **MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Carol Prentice

(Typed or printed name of person mailing paper or fee)

Carol Prentice

(Signature of person mailing paper or fee)

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
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755 Main Street, Bldg. 8
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Date: December 17, 2003

ATTORNEY DOCKET NO.: IND-114.1

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Robert Hornung)
Application No.:)
Filed: Herewith)

DRAWING REVIEW BRANCH
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 129898052 US) addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 17, 2003.

By: Carol
Carol Prentice

TRANSMITTAL OF FORMAL DRAWINGS

Dear Sir:

Enclosed are eight (8) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Barry R. Lipsitz

Attorney for Applicant(s)

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